

1 Site Response in the eastern U.S.: A comparison of  $V_s30$   
2 measurements with estimates from horizontal-to-vertical  
3 spectral ratios

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33 **ABSTRACT**

34 Earthquake damage is often increased due to local ground-motion amplification  
35 caused by soft soils, thick basin sediments, topographic effects, and liquefaction. A  
36 critical factor contributing to the assessment of seismic hazard is detailed information on  
37 local site response. In order to address and quantify the site response at seismograph  
38 stations in the eastern U.S (EUS), we investigate the regional spatial variation of  
39 horizontal-to-vertical spectral ratios (HVSr) using ambient noise recorded at permanent  
40 regional and national network stations as well as temporary seismic stations deployed in  
41 order to record aftershocks of the 2011 Mineral Virginia earthquake. We compare the  
42 HVSr peak frequency to surface measurements of the shear-wave seismic velocity to 30-  
43 m depth ( $V_{s30}$ ) at 21 seismograph stations in the EUS and find that HVSr peak  
44 frequency increases with increasing  $V_{s30}$ . We use this relationship to estimate NEHRP  
45 soil class at 218 ANSS, GSN and RSN locations in the EUS and suggest that this seismic  
46 station based HVSr proxy could potentially be used to calibrate other site response  
47 characterization methods commonly used to estimate shaking hazard.

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## 52 INTRODUCTION

53 The estimation of the earthquake hazard at a site depends on many factors  
54 including the distribution of the seismic source zones, the return times of large events, the  
55 predominant earthquake mechanisms near each site, the path effects of the transmitting  
56 medium (the earth), and local site effects on the seismic waves. Local site amplification  
57 for a single earthquake can vary significantly due to the presence of soft soils (Martin,  
58 1994), thick basin sediments (Mundepi et al., 2009; Odum et al., 2010; Bodin and  
59 Horton, 1999; Pratt and Brocher, 2006), and topography (Toshinawa et al., 2004; Hartzell  
60 et al., 2014). Constraining the spatial variability of local site amplification is important in  
61 order to improve ground motion prediction equations (GMPE) used to develop the USGS  
62 national seismic hazard map (NSHM) (Petersen et al., 2008) and determine seismic  
63 provisions in building codes in the U.S. (Building Seismic Safety Council, 2009).

64 Compared to the western U.S. (WUS), earthquakes in the eastern U.S. (EUS) are  
65 less frequent but typically felt and cause damage over a much broader region due to  
66 efficient energy propagation (low attenuation) through the crystalline bedrock that  
67 underlies much of the EUS (Frankel et al., 1996; Benz et al., 1997). Though relatively  
68 infrequent, the EUS has experienced numerous earthquakes during historical time that  
69 have caused significant damage from ground shaking. Most recently, a moment  
70 magnitude ( $M_w$ ) 5.8 earthquake occurred on August 23, 2011 (17:51:04 UTC) near  
71 Mineral, Virginia, (Figure 1) (McNamara et al., 2014a; Chapman 2013). The earthquake  
72 ruptured a southeast-dipping northeast-striking reverse fault within a region of diffuse  
73 seismicity known as the Central Virginia seismic zone (CVSZ) (Chapman, 2005;  
74 Algermissen and Perkins, 1976; Bollinger, 1969).

75

76           Ground shaking associated with the 2011 Mineral earthquake was felt (MMI $\geq$ II)  
77 over a large region due to the relatively low attenuation (high  $Q$ ) properties of the crust in  
78 the EUS (McNamara et al., 2014b). An estimated 10,000 people were exposed to  
79 moderate-to-heavy shaking levels (MMI=VIII) and 23,000 exposed to MMI=VI  
80 according to the USGS PAGER system (Wald et al., 2010)  
81 ([earthquake.usgs.gov/earthquakes/pager](http://earthquake.usgs.gov/earthquakes/pager)). Post-earthquake damage assessments found  
82 moderately heavy damage (MMI=VII-VIII) occurred to single and multi story homes and  
83 buildings in a rural area of Louisa County, southwest of Mineral, Virginia (Li, 2013;  
84 EERI, 2011) (Figure 1a). McNamara et al., (2014b) showed that the contribution of both  
85 azimuthally dependent attenuation ( $1/Q$ ) and local site amplification are required to  
86 explain the regional distribution of intensity observations, as well as the locally high  
87 shaking intensity observations (MMI V-VII) in specific areas such as Washington DC  
88 and coastal zones of the Northeast (Hough, 2012).

89           Multiple organizations deployed portable seismic stations in the days after the  
90 Mineral earthquake in order to record aftershocks (McNamara et al., 2014a). The  
91 combined seismic network that includes permanent USGS Advanced National Seismic  
92 System (ANSS), EarthScope Transportable Array (TA), regional seismic networks  
93 (RSN), and temporary portable seismic stations makes this aftershock sequence one of  
94 the best-recorded in the EUS (Figure 1) (Table 1). The abundance of aftershocks and  
95 local seismic stations presents new opportunities to better quantify EUS ground shaking  
96 parameters.  
97 Given the recent emphasis on understanding earthquake hazards in the EUS following the

98 2011 Mineral, Virginia, earthquake,  $V_{s30}$  was measured at 66 portable and permanent  
99 seismic station locations in the CVSZ and greater EUS region (EPRI, 2012; Stephenson  
100 et al., this volume; R. Kayen, written communication). Based on numerous empirical  
101 studies (Borchert et al., 1976; Borchardt, 1994; Wills and Silva, 1998),  $V_{s30}$  has become  
102 the most common means of classifying site conditions (soil class) and has been adopted  
103 in the National Earthquake Hazard Reduction Program (NEHRP) design provisions for  
104 new buildings (Martin, 1994). Since surface  $V_{s30}$  measurements are sparse, proxy  
105 methods are often used to estimate  $V_{s30}$  and soil class at most locations for USGS  
106 earthquake assessment and hazard products such as Shakemap and the NSHM.

107 In this paper, we investigate the potential for horizontal-to-vertical spectral ratios  
108 (HVSR) of ambient noise as a proxy for  $V_{s30}$  estimate. We compute HVSR using  
109 ambient noise signal recorded at permanent and portable seismic stations in the EUS  
110 (Figure 1). We show a clear relationship between HVSR peak frequency and  $V_{s30}$   
111 measured on the ground surface near seismic stations in the CVSZ (EPRI, 2012;  
112 Stephenson et al., this volume, Kayen, personal comm.). We then assume the CVSZ  
113 regional relationship between HVSR peak frequency and surface measurements of  $V_{s30}$   
114 in order to estimate  $V_{s30}$  and soil class at 218 permanent seismic stations in the EUS.  
115 We suggest that this HVSR proxy could be used to calibrate topographic slope estimates  
116 of  $V_{s30}$  that are commonly used to estimate shaking hazard.

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## 118 **HVSR METHODS AND RESULTS**

119 The premise of the HVSR method is that in shallow sedimentary deposits  
120 differences in the shear-wave impedance contrasts are larger than compressional-wave

121 impedance changes. The underlying assumption is that when shear waves impinge on the  
122 boundary between bedrock and shallow sedimentary deposits, SV waves will convert to  
123 *P* waves and pass through the overlaying layer relatively unaltered while the SH waves  
124 will be strongly influenced by sedimentary layers (Nakamura, 1989). HVSR is generally  
125 considered to be a reliable measure of the primary resonance frequency but not to  
126 accurately determine local site amplification (Edwards et al., 2013; Pratt and Brocher,  
127 2006; Field et al., 1995). Primary resonance frequency is an important parameter to  
128 determine because resonance may increase or amplify a building's response to ground  
129 shaking, especially if ground motions are at frequencies close or equal to the natural  
130 resonant frequency of the structure.

131         We use the spectral analysis system, PQLX (McNamara and Boaz, 2010) to  
132 compute all spectra used in our HVSR analysis. In this approach, the variation of spectral  
133 power is observed by computing instrument-corrected power spectral density (PSD)  
134 probability density functions (PDFs) after the methods of McNamara and Buland (2004).  
135 Percentile statistics derived from the PSDPDFs are used to estimate a smoothed  
136 distribution of spectral power as a function of frequency for each component of motion  
137 and to form the HVSR estimates.

138         In order to obtain the maximum number of possible HVSR estimates in the EUS,  
139 we use seismic stations equipped with instrumentation that records either weak or strong  
140 ground motion, however each requires different processing steps. For our HVSR analysis  
141 using weak-motion seismic stations, we are interested in isolating the ambient noise  
142 spectra from spectral transients due to earthquakes and recording system problems. We  
143 use the long term PSDPDFs to isolate the ambient noise spectra by trimming hourly

144 PSDs that fall outside of the 5th and 90<sup>th</sup> percentiles of the PDF. Figure 2 shows long-  
145 term PSDPDFs for weak-motion channels from the USGS portable aftershock station  
146 GS.SPFD (Figure 1, Table 1). The horizontal channel (BHE) PSDPDF shown in Figure  
147 2a is constructed using 11,941 PSDs computed from hourly time segments overlapping  
148 by 50% that range from August 28, 2011 through March 21, 2012. Figure 2b shows the  
149 vertical channel (BHZ) long-term PSDPDF computed using 11,939 PSDs during the  
150 same time range. The long-term PSDPDF median (50%) spectra that is derived from  
151 weak-motion broadband seismometers, traverses the high probability, low power region  
152 of the PDFs and is comprised of ambient seismic noise. In contrast, PSDs that traverse  
153 the highest (>90%) and lowest power (<5%) regions of the PDFs are comprised of low-  
154 probability transients such as earthquakes and recording system problems (Figure 2)  
155 (McNamara et al., 2009).

156       After trimming transients, the remaining hourly PSDs are compiled into daily  
157 PSDPDFs. Daily PSDPDF medians are computed and used to form daily HVSRs (Figure  
158 3a). We then compute the average of the daily HVSR estimates to form the weak-motion  
159 station HVSR (Sesame, 2004). Figure 3b shows the daily HVSR estimates computed  
160 from the ratio between the vertical component and the averaged horizontal components.  
161 A clear HVSR peak frequency is observed at 3-4 Hz for the portable station GS.SPFD  
162 while the HVSR estimate at US.BLA displays no significant ambient noise resonance  
163 frequency peaks. This method was applied to over 200 weak-motion stations in the EUS.  
164 Figure 4 shows some of the variability in weak-motion HVSR with peak frequencies in  
165 the range of 0.7 to 8.0 Hz observed at several seismic stations in this study.

166 A difficulty in estimating HVSR using strong motion sensors is that they are insensitive  
167 to low-power ambient noise levels commonly used to compute HVSRs. In order to  
168 include strong-motion sensors in this HVSR study we are required to use the low-  
169 probability high-power portion of the PSDPDF that is comprised of earthquake signals.  
170 As noted earlier, high power PSDs observed in the PSDPDFs, such as the 95<sup>th</sup> percentile,  
171 represent the very highest power signals from earthquakes such as the 2011 Mineral, VA  
172 earthquake and larger aftershocks. Both weak and strong motion instruments were  
173 operating at several stations in this study (GS.SPFD, US.BLA, and US.CBN) and offer  
174 the ability to compare results. Figure 5 shows HVSR estimates formed using daily strong-  
175 motion PDFPDF 95<sup>th</sup> percentiles with average HVSR results computed from the weak  
176 motion records. The HVSR peak frequency and amplitude are nearly identical,  
177 suggesting that both the median and 95th percentile of the PSDPDF can be used to  
178 estimate HVSR.

## 179 **HVSR Results**

180 After forming the individual station HVSRs we visually inspect the results for both  
181 clear peaks and the absence of clear peaks on both the weak and strong motion stations.  
182 For stations with clear peaks, we manually pick the peak frequency on the average HVSR  
183 estimates and the  $2\sigma$  standard deviations in order to determine the pick uncertainty (Table  
184 1). Stations with no clear HVSR peak are considered to have no result and labeled NR in  
185 Table 1 (see US.BLA in Figures 3 and 5).

186 The results shown in Figures 3 through 5 demonstrate the variability in HVSR  
187 peak frequency and amplification factor observed at several seismic stations in the EUS.

188 The resonance frequency ( $f$ ) of a site is related to the thickness ( $h$ ) and the average  $S$ -  
189 wave velocity ( $V_s$ ) of the softer geologic material near the surface (Lermo and Chavez-  
190 Garcia, 1993; Lachet and Bard, 1994; Castellaro and Mulargia, 2009) where:  $f=V_s/4h$   
191 (Bard, 1999). For example, US.BLA is installed in a vault excavated into bedrock and  
192 shows no significant ambient noise resonance frequency peaks (NR: Figures 1 and 3b).  
193 The lack of an HVSR peak indicates that no significant impedance contrast exists below  
194 the surface. In contrast, the temporary aftershock station GS.SPFD was installed in a  
195 shallow vault in loosely consolidated saprolite and soils (Stolt et al., 1991) and shows  
196 clear HVSR peak at 3 Hz (Figure 3b). In general, we observe a broad range of resonance  
197 peaks, from 0.2-10Hz, with variable width and amplification (Figure 4).

198

## 199 **DISCUSSION**

200  $V_s30$ . Understanding the spatial variability of site response is important to hazard  
201 mitigation (Boore, 2004). Modern GMPEs utilize site amplification factors based on  
202 broad soil classes that are most commonly defined by the average shear-wave velocity in  
203 the upper 30 m ( $V_s30$ ) (Martin, 1994; Borchardt, 1994; Wills and Silva, 1998). High  
204  $V_s30$  values are associated with firm, dense rock and lower levels of ground shaking  
205 while lower  $V_s30$  values are associated with softer soils and site amplification on the  
206 order of 1.5-2 (Petersen et al., 2008).

207  $V_s30$  is commonly computed from surface measurements of  $V_s$  using a receiver  
208 array and either using active sources, or passive ambient noise microtremor sources  
209 (Odum et al., 2010; Odum et al., 2013; Stephenson et al., this volume). Following the

210 2011 Mineral earthquake,  $V_{s30}$  was measured at the locations of 66 portable and  
211 permanent seismic stations in the CVSZ and greater EUS (EPRI, 2012; Stephenson et al.,  
212 this volume; Kayen, personal comm.) (Table 2). This data set of surface  $V_{s30}$   
213 measurements provides a valuable resource for comparison of proxy methods used to  
214 estimate  $V_{s30}$  and soil class.

215 In Figure 6 we compare surface measured  $V_{s30}$  at 21 seismic station locations with  
216 clear observations of HVSR peak frequency. A least-squares regression between HVSR  
217 peak frequency and  $V_{s30}$  measured at the surface results in a slope of  $m=51.90\pm 65.95$   
218 and intercept of  $b=254.73\pm 28.52$  with a data standard deviation = 78.91 m/s (Figure 6).  
219 The relatively low standard deviation and high data cross correlation coefficient of 0.89  
220 suggests a clear relationship between HVSR peak frequency and surface measurements  
221 of  $V_{s30}$ .

222 Since surface  $V_{s30}$  measurements are not available at all site locations of interest  
223 for earthquake hazard assessment (Petersen et al., 2008), a common method used to  
224 estimate  $V_{s30}$  takes advantage of topographic slope (Allen and Wald, 2007; 2009). For  
225 each location of the 66 seismic stations with surface measurements of  $V_{s30}$  we extract the  
226 topographic slope proxy  $V_{s30}$  from the USGS Global  $V_{s30}$  Map Server  
227 (<http://earthquake.usgs.gov/hazards/apps/vs30/>). As a test we compare how well our  
228 HVSR peak frequency proxy relationship compares with the topographic slope proxy at  
229 predicting  $V_{s30}$  measured at the surface. Figure 7 compares 66 surface measurements of  
230  $V_{s30}$  to topographic slope proxy  $V_{s30}$ . A least-squares regression results in a slope of  
231  $0.173\pm 0.065$  and intercept of  $368.79\pm 42.25$  (data standard deviation = 155.79 m/s, data  
232 cross correlation coefficient = 0.31). The large standard deviation and low cross

233 correlation coefficient indicates that the topographic slope proxy is not a reliable  
234 predictor of  $Vs30$  measured at the surface for this data set. The topographic slope proxy  
235 estimate tends to underestimate  $Vs30$  measured at the surface.

236 In figure 7 we also determine how well our HVSR peak frequency proxy  
237 relationship predicts  $Vs30$  measured at the surface. We estimate  $Vs30$  at 21 seismic  
238 stations with clear HVSR peak frequencies and co-located surface measurements of  $Vs30$ .  
239 A least-squares fit between surface measured  $Vs30$  and HVSR proxy  $Vs30$  results in a  
240 slope of  $m=0.783+0.090$  and intercept  $b=99.24+43.59$  (data standard deviation = 69.76  
241 m/s, data cross correlation coefficient = 0.89). The relatively low standard deviation and  
242 high data cross correlation coefficient indicates that HVSR peak frequency can reliably  
243 estimate  $Vs30$  measured at the surface for this CVSZ dataset.

#### 244 **Soil Class**

245 The 218 seismic stations used in this study are installed in a broad range of soils  
246 and consequently result in a range of HVSR peak frequencies (Figures 3, 4 and 5).  
247 Figure 6 shows the NEHRP soil class boundaries, defined by  $Vs30$  (Martin, 1994), and  
248 the linear relationship observed between HVSR peak frequency and surface  
249 measurements of  $Vs30$ . If we assume that the empirical linear relationship defines a proxy  
250 relationship, we can estimate  $Vs30$  and thereby infer NEHRP soil class for seismic  
251 stations with a clear HVSR peak frequency.

252 In Figure 1 we map the distribution of soil class estimates at 218 seismic stations  
253 using the HVSR proxy determined in this study (Table 1). Seismic stations used in this  
254 study are located in both solid rock (e.g., US.BLA:  
255 <http://earthquake.usgs.gov/monitoring/operations/station.php?network=US&station=BLA>

256 ) and in highly weathered and saturated soils such as near the North Anna reservoir and  
257 nuclear power plant (GS.ORRD, GS.SPRD) (Figure 1). We observe that most stations  
258 are located in soil class C (very dense soil and soft rock), CD and D (stiff soil) (Martin,  
259 1994). Soil class D estimates are most commonly associated with in areas of thick  
260 sediments such as southeast coastal areas of Virginia, the Carolinas and Florida, the  
261 Michigan basin, and the Mississippi embayment sediments. Soil class C and HVSR  
262 measurements with no clear peak (NR) are common in the higher elevation regions of the  
263 Appalachian Mountains. Based on this analysis we see that much of the EUS has local  
264 site conditions that can significantly amplify ground motions.

265 The geology of EUS is marked by a wide variety of provinces, from the eastern coastal  
266 plains westward to the Appalachian plateau. The epicentral region of the 2011 Mineral,  
267 VA earthquake is located within the Piedmont Province and is characterized by gently  
268 rolling topography, deeply weathered bedrock, and a relative paucity of solid rock  
269 outcrop. Saprolite is the most common near-surface material in the Piedmont region of  
270 Virginia (Stolt et al., 1991) and is generally formed in place as gradationally weathered  
271 material from the underlying bedrock. Saprolites are also common in other regions, such  
272 as Hong Kong, where strong motion site response studies have shown that thin layers of  
273 saprolite ( $V_{s30} = 100\text{-}400$  m/s) overlying high velocity bedrock ( $V_{s30} = 1500$  m/s) can  
274 lead to significant local site amplification (Pappin et al., 2004; Koo et al., 2005). In  
275 addition, thicker layers of saprolite (~22m) that overlay very high velocity bedrock  
276 ( $V_s=2400\text{m/s}$ ) at sites near Mayaguez, Puerto Rico have been shown to have very large  
277 local site amplification (Odum et al., 2013).  $V_{s30}$  for saprolite in the 2011 Mineral, VA  
278 earthquake epicentral ranges from 200 to 400 m/s (Stephenson et al., this volume) which

279 is consistent with soils of class C and D. Similar to other regions, saprolite with soil class  
280 of C and D within the EUS can be expected to produce significant site amplification  
281 (Figure 1).

## 282 **Implications for Structures**

283 The characteristics of ground motion that are most important for building design are the  
284 duration, amplitude, and frequency of horizontal ground motion. In this study we  
285 demonstrate that HVSR peak frequency can be used as a proxy to estimate  $V_{s30}$  and  
286 consequently NEHRP soil class, which are the dominant parameters used to determine  
287 local site amplification. The 2011 Mineral, Virginia earthquake produced shaking  
288 sufficient to close the North Anna nuclear power plant, located ~20 km from the  
289 epicenter, with reported shaking levels reaching a factor of two times the maximum  
290 design limit (Li, 2013; EERI, 2011). Recorded peak ground acceleration (PGA) reached  
291  $2.6 \text{ cm/s}^2$  (Li, 2013; Chapman, 2013) and is consistent with the USGS PAGER intensity  
292 model (MMI=VI-VII) (Figure 1a) and with post-event damage assessment (EERI, 2011).  
293 As observed in Figure 1a, seismic stations located in the epicentral region of the 2011  
294 Mineral earthquake and near the North Anna Power Plant are of soil class C and D which  
295 can expected to significantly amplify ground shaking (Petersen et al., 2008).

296       Also of great importance in building design is the frequency of horizontal ground  
297 motion. When the frequency content of ground motion is near a building's natural  
298 frequency, the building and the ground motion are in resonance with one another. Based  
299 on the conventional relationship in which the resonance period ( $1/\text{frequency}$ ) is  $0.1 *$   
300 number of stories, we can estimate building heights that are most sensitive to the  
301 resonance frequency of the soils in this study. For example, a 20-story building is likely

302 most sensitive to soils with resonant frequency of 0.5 Hz (2.0s period) similar to the  
303 observation at US.CBN (Figure 4), whereas a 10-story building is sensitive to soils with a  
304 resonant frequency of 1.0 Hz (1s period) similar to stations near the North Anna power  
305 plant (Table 1). The highest HVSR peak frequencies observed for soils in this study  
306 (ET.SWET, GS.OORD) (~10 Hz) suggest that single story buildings are also at risk. The  
307 wide range of resonant frequency observations are consistent with the broad range of  
308 building damage observed in the epicentral region immediately following the mainshock  
309 (EERI, 2011).

### 310 **Limitations and Uncertainty**

311        Though the distribution of our soil class estimates is generally consistent with  
312 regional geology, individual station results can be difficult to interpret. This is the case  
313 for stations labeled “NR” that do not have a clear peak frequency. If a seismic station is  
314 part of a permanent seismic network, most likely the station is not sensitive to the local  
315 shallow soil. Most permanent earthquake monitoring stations are built to reduce noise by  
316 placing sensors on concrete piers coupled directly to bedrock or in borehole installations  
317 (McNamara et al., 2009). As demonstrated with IU.DWPF in Figure 4, deeply buried  
318 sensors do not record site effects because they lie below the shallow soils. Based on the  
319 peak HVSR resonance frequency observed with the surface sensor at IU.DWPF.10 (1Hz),  
320 the surface soils should have a  $V_s \approx 316$  m/s and soil class of D (Figure 6). In contrast,  
321 the borehole sensor (IU.DWPF.00) has no HVSR peak frequency since it is coupled to  
322 solid rock at depth of 162 m (Figure 4). Many of the permanent ANSS, GSN and  
323 USArray TA stations have no HVSR peak frequency (Table 1) (Figure 1b). Sensors  
324 buried at shallow depth, such as those used in portable or temporary aftershock networks,

325 are often better for determining high-frequency soil characteristics. This contrasts with  
326 the permanent ANSS station US.CBN which has a clearly observed HVSR peak  
327 frequency of  $\sim 0.7$  Hz (Figure 4) due to installation in thick class D soil (Table 1) (Kayen,  
328 personal comm.)  
329 (<http://earthquake.usgs.gov/monitoring/operations/station.php?network=US&station=CBN>)  
330 . Based on the regression results shown in Figure 6, we estimate  $V_{s30} = 302$  m/s,  
331 Since the paucity of local observations limits our ability to adequately evaluate near-field  
332 strong ground motion we require proxy methods to estimate site response for most  
333 locations. It is possible that the linear relationship between  $V_{s30}$  and HVSR peak  
334 frequency, determined in this study, is unique to the 21 stations located in the CVSZ may  
335 not be an appropriate  $V_{s30}$  proxy for the entire EUS and other regions. Therefore we  
336 recommend that results from this study be compared to different regions where surface  
337  $V_{s30}$  measurements are available for existing seismic stations. Since surface  
338 measurements of  $V_{s30}$  are spatially limited, we also recommend additional measurement  
339 of  $V_{s30}$  at existing seismic stations.

340

## 341 CONCLUSIONS

342 In this study, we compute HVSR peak frequency for 218 seismic stations in the  
343 EUS. The surface measured  $V_{s30}$  data set collected after the 2011 Mineral, Virginia  
344 earthquake provides an opportunity to compare these observations with the HVSR results  
345 at the same locations. We show a strong linear relationship between HVSR peak  
346 frequency and surface  $V_{s30}$  measurements in the CVSZ and suggest that this approach  
347 can be used as a proxy to estimate  $V_{s30}$  and NEHRP soil class in the EUS. For stations in

348 this study, the HVSR  $Vs30$  proxy is more reliable at predicting surface measured  $Vs30$   
349 than *the* topographic slope proxy. Since surface measurements of  $Vs30$  are spatially  
350 limited we suggest that our approach can be used where seismic stations are available in  
351 order to calibrate topographic slope estimates of  $Vs30$  that are commonly used to estimate  
352 shaking hazard. Local soil class is a significant issue for the construction of buildings and  
353 other structures, and is commonly used by engineers in the development of building  
354 design criteria. Based on our results it is important to quantify local soil class in order to  
355 provide guidance on the design of buildings and infrastructure in regions that can  
356 experience strong ground shaking. Studies of this nature are also relevant to rapid USGS  
357 earthquake assessment and hazard products that are important for the improvement of  
358 building codes in the EUS.

359

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361 Data used in this study were recorded at regional broadband stations operated by  
362 the USGS, regional ANSS networks and the IRIS transportable array. In addition, 47  
363 portable stations were deployed shortly after the mainshock. Station location information,  
364 instrument response transfer functions and waveform data for all portable and permanent  
365 seismic stations, used in this study, are archived and available for download from the  
366 Incorporated Research Institutions for Seismology (IRIS) Data Management Center  
367 (DMC). Analysis and mapping software used includes PQLX (McNamara and Boaz,  
368 2010), SAC (Goldstein et al., 2003; Goldstein and Snoke, 2005), GMT (Wessel and  
369 Smith, 1991; 2004) and Matlab.

370

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383

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539 **TABLES**

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TABLE 1. SOIL CHARACTERISTICS  
AT 218 SEISMIC STATIONS IN THE EUS

NET.STATION	HVSR Peak Frequency (Hz)	HVSR Vs30 (m/s)	NEHRP Soil Class
AG.CCAR	0.65	288.3	D
AG.FCAR	5.0	513.7	C
AG.HHAR	NR	NR	NR
AG.LCAR	20	1290.7	B
AG.WHAR	NR	NR	NR
AG.WLAR	0.35	272.8	D
CN.PLVO	NR	NR	NR
CN.SADO	NR	NR	NR
CO.JSC	NR	NR	NR
ET.CPCT	NR	NR	NR
ET.SWET	10.0	772.7	BC
GS.CVRD	2.5	384.2	CD
GS.LWRD	2.25	371.2	CD
GS.ORRD	8.0	669.1	C
GS.PTRD	1.5	332.4	D
GS.SPFD	3.0	410.1	CD
GS.SPRD	4.0	461.9	C
IM.TKL	NR	NR	NR
IU.DWPF	1.4	327.2	D
IU.HRV	NR	NR	NR
IU.SSPA	NR	NR	NR
IU.WCI	NR	NR	NR
IU.WVT	8.5	695	C
LD.ALLY	NR	NR	NR
LD.FRNY	NR	NR	NR
LD.KSCT	NR	NR	NR
LD.LUPA	NR	NR	NR
LD.MVL	10	772.7	BC
LD.NCB	NR	NR	NR
LD.PAL	NR	NR	NR
LD.SDMD	NR	NR	NR
NE.BCX	NR	NR	NR
NE.BRYW	NR	NR	NR
NE.EMMW	NR	NR	NR
NE.FFD	1.5	332.4	D
NE.HNH	NR	NR	NR
NE.QUA2	NR	NR	NR
NE.TRY	0.5	280.6	D
NE.VT1	3.5	436	C
NE.WES	NR	NR	NR
NE.WSPT	NR	NR	NR
NE.YLE	NR	NR	NR
NM.BLO	NR	NR	NR
NM.GLAT	0.2	265.0	D
NM.HALT	0.25	267.6	D
NM.HBAR	0.25	267.6	D
NM.MGMO	8.0	669.1	C
NM.MPH	0.18	264.0	D
NM.OLIL	2.5	384.2	CD
NM.PARM	0.4	275.4	D
NM.PBMO	3.5	436	C
NM.PLAL	3.5	436	C
NM.PVMO	0.25	267.6	D
NM.SLM	NR	NR	NR
NM.UALR	NR	NR	NR
NM.USIN	9.0	720.9	C
NM.UTMT	0.35	272.83	D

NP.9985	1.6	337.58	D
NQ.WNC	NR	NR	NR
PE.NCAT	4.5	487.8	C
PE.PAGS	5.0	513.7	C
PE.PSUB	NR	NR	NR
TA.059A	NR	NR	NR
TA.060A	0.45	278.01	D
TA.061Z	NR	NR	NR
TA.147A	NR	NR	NR
TA.152A	2.5	384.2	CD
TA.154A	0.3	270.24	D
TA.250A	0.25	267.65	D
TA.253A	0.9	301.32	D
TA.255A	1.1	311.68	D
TA.257A	1.5	332.4	D
TA.352A	1.0	306.5	D
TA.451A	2.0	358.3	D
TA.453A	1.5	332.4	D
TA.456A	1.0	306.5	D
TA.555A	4.0	461.9	C
TA.656A	3.0	410.1	CD
TA.658A	2.2	368.66	CD
TA.957A	1.5	332.4	D
TA.C40A	NR	NR	NR
TA.D41A	NR	NR	NR
TA.D53A	NR	NR	NR
TA.E38A	2.0	358.3	D
TA.E43A	11	824.5	B
TA.E44A	NR	NR	NR
TA.E46A	NR	NR	NR
TA.G40A	2.5	384.2	CD
TA.G45A	NR	NR	NR
TA.H43A	NR	NR	NR
TA.H48A	1.0	306.5	D
TA.I41A	NR	NR	NR
TA.I42A	NR	NR	NR
TA.I45A	0.7	290.9	D
TA.I47A	1.5	332.4	D
TA.I49A	6.0	565.5	C
TA.J45A	0.8	296.1	D
TA.J47A	1.2	316.8	D
TA.J48A	4.5	487.8	C
TA.J54A	3.9	456.7	C
TA.J55A	5.5	539.6	C
TA.K43A	NR	NR	NR
TA.K50A	1.5	332.4	D
TA.KMSC	4.0	461.9	C
TA.L40A	6.5	591.4	C
TA.L42A	5.5	539.6	C
TA.L46A	1.2	316.8	D
TA.M44A	6.0	565.5	C
TA.M46A	1.4	327.2	D
TA.M48A	1.3	322.0	D
TA.M50A	2.5	384.2	CD
TA.M52A	5.5	539.6	C
TA.M54A	2.5	384.2	CD
TA.M55A	NR	NR	NR
TA.M65A	0.85	298.7	D
TA.N41A	5.5	539.6	C
TA.N47A	2.5	384.2	CD
TA.N49A	NR	NR	NR
TA.N51A	4.0	461.9	C
TA.N53A	NR	NR	NR
TA.N54A	NR	NR	NR
TA.N55A	NR	NR	NR
TA.N59A	NR	NR	NR
TA.O49A	6.0	565.5	C

TA.O52A	4.5	487.8	C
TA.O56A	NR	NR	NR
TA.P45A	3.0	410.1	CD
TA.P48A	4.2	472.2	C
TA.P51A	1.5	332.4	D
TA.P53A	NR	NR	NR
TA.Q51A	3.0	410.1	CD
TA.Q54A	NR	NR	NR
TA.R49A	NR	NR	NR
TA.R50A	NR	NR	NR
TA.R53A	2.0	358.3	D
TA.R55A	NR	NR	NR
TA.R58B	3.5	436	C
TA.S51A	NR	NR	NR
TA.S57A	3.5	436	C
TA.S58A	NR	NR	NR
TA.SFIN	0.9	301.32	D
TA.SPMN	2.0	358.3	D
TA.T45A	0.75	293.5	D
TA.T47A	6.5	591.4	C
TA.T49A	3.5	436	C
TA.T52A	8.0	669.1	C
TA.T57A	3.8	451.54	C
TA.T59A	7.0	617.3	C
TA.T60A	0.35	272.83	D
TA.TIGA	1.5	332.4	D
TA.TUL1	NR	NR	NR
TA.U40A	2.5	384.2	CD
TA.U54A	1.5	332.4	D
TA.U59A	2.1	363.4	C
TA.V48A	7.0	617.3	C
TA.V51A	NR	NR	NR
TA.V52A	NR	NR	NR
TA.V53A	6.0	565.5	C
TA.V55A	NR	NR	NR
TA.V56A	NR	NR	NR
TA.V60A	0.6	285.7	D
TA.V61A	0.2	265.0	D
TA.W39A	NR	NR	NR
TA.W41B	NR	NR	NR
TA.W50A	7.8	658.7	C
TA.W52A	1.9	353.1	D
TA.W57A	4.5	487.8	C
TA.WHTX	NR	NR	NR
TA.X40A	NR	NR	NR
TA.X43A	NR	NR	NR
TA.X48A	11	824.5	B
TA.X51A	3.0	410.1	CD
TA.X58A	0.8	296.1	D
TA.Y49A	NR	NR	NR
TA.Y52A	NR	NR	NR
TA.Y57A	1.1	311.6	D
TA.Y58A	0.4	275.4	D
TA.Y60A	4.0	461.9	C
TA.Z41A	NR	NR	NR
TA.Z50A	3.2	420.4	C
TA.Z56A	0.5	280.6	D
US.AAM	1.4	327.2	D
US.ACSO	3.0	410.1	CD
US.AGMN	1.5	332.4	D
US.BINY	NR	NR	NR
US.BLA	NR	NR	NR
US.BRAL	1.4	327.2	D
US.CBN	0.7	290.9	D
US.CNNC	0.9	301.3	D
US.COWI	2.4	379.0	CD
US.ERPA	NR	NR	NR

US.EYMN	NR	NR	NR
US.GOGA	NR	NR	NR
US.HDIL	0.9	301.3	D
US.JFWS	NR	NR	NR
US.LBNH	NR	NR	NR
US.LONY	NR	NR	NR
US.LRAL	2.5	384.2	CD
US.MCWV	NR	NR	NR
US.MIAR	NR	NR	NR
US.NATX	NR	NR	NR
US.NHSC	4.5	487.8	C
US.OXF	0.4	275.4	D
US.PKME	NR	NR	NR
US.SCIA	1.1	311.6	D
US.TZTN	NR	NR	NR
US.VBMS	1.7	342.7	D
YC.IP01	4.5	487.8	C
YC.IP02	2.5	384.2	CD
YC.IP03	4.0	461.9	C
YC.IP04	4.0	461.9	C
YC.IP05	2.75	397.1	CD
YC.IP06	5.0	513.7	C
YC.IP07	2.3	373.8	CD
ET.UOM1	9.0	720.9	C
ET.UOM2	2.5	384.2	CD
XY.BUPP	2.7	394.5	CD
NM.SIUC	5.5	539.6	C

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TABLE 2. Vs30 OBSERVATIONS  
AT 66 SEISMIC STATIONS IN THE EUS

NET.STATION	Surface Vs30 (m/s)	Topo Vs30 (m/s)
GS.ORRD	544.0	406.9
GS.SPRD	580.0	356.6
YC.IP01	530.0	450.9
XY.BUPP	483.0	518.9
ET.UOM1	837.0	392.1
NP.9985	382.3	340.4
LD.LD05	461.0	439.1
LD.LD01	507.0	506.3
GS.CVRD	340.0	549.8
ET.UOM2	335.0	354.5
YC.IP02	340.0	316.8
YC.IP05	370.0	457.0
GS.SPFD	464.0	336.3
GS.PTRD	260.0	380.0
YC.IP03	390.0	414.6
YC.IP04	442.0	401.4
AG.WHAR	1190.0	705.1
ET.SWET	840.0	284.7
IU.SSPA	939.0	576.9
NM.CVVA	581.0	244.6
NM.SEAR	984.0	304.8
NM.SIUC	491.0	319.4
NM.UALR	1288	760.0
NQ.NQ793	368.0	356.6
PE.PSUB	551.0	447.9
PN.PPBLN	1077.0	488.5
PN.PPCWF	466.0	310.0
PN.PPMOO	504.0	436.1
PN.PPPCH	429.0	542.7
PN.PPPHS	325.0	244.6
SE.RCRC	519.0	586.7
SE.URVA	528.0	526.9
SE.VWCC	357.0	588.3
US.BLA	700.0	517.3
US.CBN	249.0	206.0
US.GOGA	296.0	709.1
US.LBNH	850.0	760.0
US.LONY	1100	530.2
US.LRAL	568.0	342.6
US.MIAR	1090	311.4
US.MYNC	495.0	760.0
US.NCB	1002	760.0
US.WMOK	1642	558.3
NP.2555	340.0	439.3
US.CBN	279.0	206.0
NP.2511	388.9	285.6
PE.PAGS	525.3	705.2
LD.MVL	671.5	619.6
NP.2648	609.1	571.1
NP.WNC	357.0	612.3
NP.2560	606.8	448.1
XY.JSRW	476.6	263.3
NP.2558	362.0	305.8
XY.URVA	358.9	526.9
GS.LWRD	325.4	263.4
NQ.NQ001	655.4	439.0
NP.2549	497.9	760.0
NP.2405	633.2	321.6
NP.2510	357.5	760.0
US.TZTN	357.5	760.0
NP.2506	431.2	338.9

NP.NAMA	341.5	408.4
NP.CAPTL	334.3	587.9
NQ.NQ957	271.8	285.2
US.CNNC	285.9	598.3
US.MCWV	1483.4	760.0

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556 **LIST OF FIGURES**

557 Figure 1. Map of seismic stations used in this study. (a) Map of the Mineral, VA  
558 epicentral region showing Modified Mercalli Intensity (MMI) from the 2011 Mw5.8  
559 Mineral, VA earthquake with soil classes for stations determined in this study. The  
560 location of the Mineral earthquake (red star) is from McNamara et al., (2014a). The  
561 location of the North Anna Nuclear power plant is shown as a white triangle. (b) Map of  
562 218 permanent and portable seismic stations in the EUS at which this study estimated  
563 NEHRP soil class (Table 1).

564 Figure 2. Power spectral density (PSD) probability density functions (PDFs) computed  
565 for two-components of weak motion (broadband) recordings by portable aftershock  
566 station GS.SPF.D. Long-term PSDPDF medians (50%) are shown as black dashed lines.  
567 Additional percentiles are shown as white (5<sup>th</sup> percentile) and white dashed lines (90<sup>th</sup>  
568 percentile). The New High and Low Noise Models (grey lines NHNM, NLNM) are from  
569 Peterson (1993). (a) PDF formed from 11941 PSDs recorded from August 28, 2011  
570 through March 21, 2012 on channel GS.SPF.D.--.BHE. (b) PDF formed from 11939 PSDs  
571 from channel GS.SPF.D.--.BHZ.

572 Figure 3. HVSR method using portable aftershock station GS.SPF.D and ANSS station  
573 US.BLA. (a) Shown are the PSDPDF daily median PSDs for three-components of motion  
574 that were used to form daily spectral ratios (red line = BHE, black line = BHN , green  
575 line = BHZ). (b) GS.SPF.D HVSR results display a clear resonance peak at 3Hz with an  
576 amplification factor of 4 (red line) whereas the permanent ANSS rock-site US.BLA has  
577 no clear HVSR peak frequency (black line). Dashed lines show the  $2\sigma$  standard deviation  
578 of the daily average HVSR estimate.

579 Figure 4. Shown are a range of HVSR results for several seismic stations determined in  
580 this study. Across the region we observe a range of resonant frequencies and  
581 amplification factors. Also shown is the HVSR comparison at IU.DWPF between surface  
582 (IU.DWPF.10) and borehole sensor (IU.DWPF.00).

583 Figure 5. Comparison of co-located weak and strong motion sensors at 3 different seismic  
584 stations (Table 1: US.CBN, US.BLA, GS.SPF.D). HVSR computed using both weak

585 motion and strong motion sensors display similar peak frequencies and amplification  
586 using co-located sensors.

587 Figure 6. Comparison of Vs30 (m/s) and HVSR peak frequency (Hz). Black squares  
588 show results from 21 permanent and portable seismic stations with surface Vs30  
589 measurements and HVSR resonance frequencies determined in this study. Solid black  
590 line shows the least squares fit to the surface HVSR peak frequency and Vs30 with slope  
591 ( $m = 51.90 \pm 65.95$ ) and intercept ( $b = 254.73 \pm 28.52$ ) (data standard deviation = 78.91  
592 m/s, data cross correlation coefficient = 0.89). Dashed black lines delineate Vs30 defined  
593 NEHRP soil classes (B, C, D).

594 Figure 7. Comparison between topographic slope and surface measured Vs30 methods  
595 seismic stations in the EUS. A least-squares fit results in a slope of  $0.173 \pm 0.065$  and  
596 intercept of  $368.79 \pm 42.25$  (data standard deviation = 155.79 m/s, data cross correlation  
597 coefficient = 0.31) (black line). Also shown are Vs30 estimates based on the HVSR  
598 proxy determined in this study (red diamonds). A least-squares fit results in a slope of  
599  $0.783 \pm 0.090$  and intercept of  $99.24 \pm 43.59$  (data standard deviation = 69.76 m/s, data  
600 cross correlation coefficient = 0.89) (red line).

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