

# Stress imparted by the great 2004 Sumatra earthquake shut down transforms and activated rifts up to 400 km away in the Andaman Sea

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The origin and prevalence of triggered seismicity and remote aftershocks are under debate. As a result, they have been excluded from probabilistic seismic hazard assessment and aftershock hazard notices. The 2004  $M = 9.2$  Sumatra earthquake altered seismicity in the Andaman backarc rift-transform system. Here we show that over a 300-km-long largely transform section of the backarc,  $M \geq 4.5$  earthquakes stopped for five years, and over a 750-km-long backarc section, the rate of transform events dropped by two-thirds, while the rate of rift events increased eightfold. We compute the propagating dynamic stress wavefield and find the peak dynamic Coulomb stress is similar on the rifts and transforms. Long-period dynamic stress amplitudes, which are thought to promote dynamic failure, are higher on the transforms than on the rifts, opposite to the observations. In contrast to the dynamic stress, we calculate that the mainshock brought the transform segments approximately 0.2 bar (0.02 MPa) farther from static Coulomb failure and the rift segments approximately 0.2 bar closer to static failure, consistent with the seismic observations. This accord means that changes in seismicity rate are sufficiently predictable to be included in post-mainshock hazard evaluations.

Coulomb stress transfer | great earthquakes | seismicity rate changes

Whether aftershocks and subsequent mainshocks are triggered by the small but permanent static stress changes or the larger but oscillatory dynamic stress changes is unresolved (1–8). Partly in consequence, aftershocks have been omitted from probabilistic seismic hazard assessment even though the ground motion and damage they cause can sometimes exceed that of the mainshock. By virtue of its size, the 26 December 2004  $M = 9.2$  Sumatra earthquake (9–11) permits study of how seismicity far from the rupture is promoted and inhibited by a mainshock. The earthquake struck along the Sunda trench, where the Indian-Australian plate undergoes oblique subduction (Fig. 1). At the northern portion of the rupture zone, the Andaman backarc rift-transform system lies 200–400 km from the megathrust (12–14) (Fig. 1). This separation from the megathrust means that stress transferred to the backarc by the megathrust is insensitive to the unknown details of the coseismic slip distribution and geometry. But because of the size of the mainshock, the calculated static stress imparted to the Andaman backarc exceeds 0.2 bar (0.02 MPa), about twice the commonly observed threshold seen to influence seismicity (2, 15), making the Sumatra-Andaman event an ideal test case of long-distance earthquake triggering and inhibition. At magnitudes up to 6.2 backarc, earthquakes are also large enough to cause damage if they struck in many regions of the world, and so understanding what controls them matters to hazard assessment.

## Seismicity Observations

The National Earthquake Information Center (NEIC) and the Global CMT (gCMT) catalogs permit us to compare the 26-y record of preceding or ‘background’ seismicity to the 6 y of aftershocks and post-mainshock seismicity, which reveals a 330-km-long dominantly-transform section of the Andaman backarc

system that is devoid of earthquakes during the first 5 y after the mainshock (Fig. 2*A* and *B*). The magnitude of completeness,  $M_c$ , is 4.5 for NEIC (Fig. S1) and 5.2 for gCMT, and so we count only earthquakes above these thresholds. The post-mainshock shut-down of NEIC earthquakes (16) is evident in map view (Fig. 2*A* and *B*) and in time series (Fig. 3), where it is marked as Box N. It is also evident after aftershocks and foreshocks are removed by declustering (17) (Figs. S2 and S3*A* and *B*). The next largest temporal gap in the full or declustered catalog is 3.5 y. It is unlikely that the observed gap is a detection artifact, because Box S of similar dimension along the backarc (Fig. 2) exhibits an abrupt rate increase at the time of the  $M = 9.2$  event in the full catalog (Fig. 3), or no rate change in the declustered catalog (Fig. S3*B*).

Along a longer 750-km-long section of the back-arc system that includes both rifts and transforms, earthquake focal mechanisms changed after the 2004 mainshock, as first reported by Andrade and Rajendran (2011) (18). Whereas more than half of the 28 events were strike-slip before the mainshock (Fig. 4*A*), only one of the 21 events was afterwards (Fig. 4*B*), an 800% gain in the rate of normal events and a 66% drop in the rate of strike-slip events (Table S1). Comparison of Fig. 4*A* and *B* indicates that the transform segments became seismically inactive after the 2004 mainshock. If strike-slip or normal mechanisms could occur with equal likelihood, roughly as in the pre-mainshock period (54/46%), the probability of drawing 20 normal events out of 21 is 0.19%. The focal mechanism change is also evident in a space-time plot (Fig. S4*A*). Thus, we observe earthquake activation along the rifts and inhibition along the transforms after the 2004 mainshock. This could be a product of the dynamic or static stresses.

## Coulomb Stress Transfer

To calculate the Coulomb stress transmitted to the Andaman backarc system, we treat the transforms as vertical right-lateral faults, and the rifts as 45° dipping normal faults, based on the geometry of other well-imaged oceanic transform-rift systems (19, 20). We then impose the 2004 coseismic slip in an elastic half-space for the static stress, or a spherically layered earth for the dynamic stress, and calculate the Coulomb stress change. After 1,000 s the dynamic stresses closely resemble the static stresses calculated in a halfspace. The Coulomb stress change  $\Delta CFF = \Delta\tau + \mu' \Delta\sigma$ , where  $\tau$  is the shear stress on the fault (positive in the direction of slip),  $\sigma$  is the normal stress (positive for unclamping), and  $\mu'$  is the apparent friction coefficient. Failure is promoted if  $\Delta CFF$  is positive, and inhibited if negative. Thus both increased

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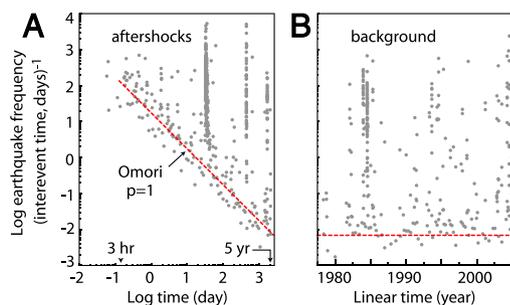
wave train, similar to that found for triggering of global micro-earthquakes (7).

We find that the peak dynamic stress is similar on rifts and transforms over 618°N lat. (Fig. 6). The peak stress on transform faults exceeds 2 bar north of 12.5°N, where transform events halted. Although some studies conclude that long period (>30 s) energy is necessary for dynamic triggering (4, 25), long-period stress amplitudes are higher on the transforms than on rifts (Figs. 5D and 6), opposite to the observed transform shutdown and rift activation. Thus, as best we can infer, the calculated dynamic stress cannot explain the observations. The first backarc events occur 80 min after the mainshock, and Omori decay begins 3 h after the mainshock (Fig. 7), and so detection is likely complete from 3 h onward. Thus it is possible that  $M \geq 4.5$  earthquakes struck undetected along both rifts and transforms up to 3 h of the passage of the stress waves, but not later.

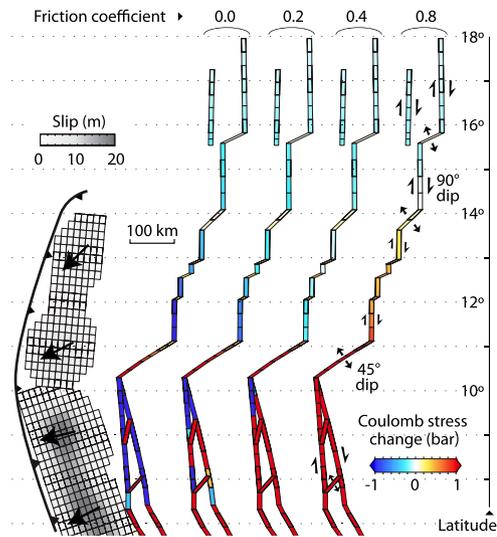
### Static Stress

The static Coulomb stress change is calculated using the Chlieh et al. (9) source in Fig. 2C for fault friction of 0.4. The stress change as a function of fault friction is shown in Fig. 8 for the same source (9), and in Fig. S5 for the alternative source (10). The static stress rises on the rifts and drops along the transforms in both models, matching the observations for friction of 0.2–0.4. Oceanic and mature continental transforms generally exhibit evidence for low apparent friction (26, 27), most likely due to their geometrical continuity and thick fault gouge. Oceanic and volcanic rifts are also found to possess friction of 0.01–0.40 (28, 29), probably due to high temperature, high pore pressure, or a thin crust. Thus, the assumption of low friction appears warranted, but to reduce bias toward the expectation of low friction, we nevertheless use friction of 0.4.

We also calculated the static stress imparted to the earthquake nodal planes at their hypocentral depths (Fig. 4 C and D). Although the shear stress change on each pair of nodal planes is the same, the normal stress change is not. Thus, except for the special case of frictionless faults, the Coulomb stress change on the two nodal planes is different. Because of the NNE strike of the backarc, we select the most northerly-striking plane of each pair as the more likely fault, and find that all 21 post-2004 mechanisms were brought closer to Coulomb failure by the 2004 mainshock. For the control population (30), we calculate the percentage of pre-2004 mechanisms brought closer to failure by the 2004 mainshock, again selecting the most northerly-striking planes, finding 65% were positive (Table S1). If two out of three focal mechanisms are promoted at random as in the pre-mainshock control period, the probability that all 20 shocks would be promoted after the mainshock is 0.03%. For this test, we drew 100,000 sets of 20 earthquakes from a population in which 66% of the events are promoted, and calculated the chance that all



**Fig. 7.** Aftershock decay along the Andaman backarc-transform system, using  $M \geq 4.7$  NEIC earthquakes from the unmasked area of Fig. 2. (A) These remote aftershocks decay with  $p = 1$  starting 3 h after the 2004 mainshock, with excursions after the largest aftershocks; the plot ends on 12 Jul 2011. (B) Judging from the foregoing 32-y period, the background baseline rate is  $10^{-2} \text{ y}^{-1}$ , suggesting that the aftershock decay ended by mid- to late-2011.



**Fig. 8.** Coulomb stress at 10 km depth as a function of friction on the backarc system, using the 400-patch (9) mainshock source. Black vectors on the source give fault slip azimuths. Transforms are assumed to be vertical and rifts dip 45° southeast. Results are little changed for 15 km depth or for 60°-dipping rift normal faults. Fig. S5 shows similar results for the 8-patch (10) source.

20 shocks will be promoted after the mainshock. By comparison, a previous analysis (31) resolved only a subtle 5-y change in observed focal mechanisms in the stress shadows of global  $M \geq 7$  mainshocks.

### Discussion and Conclusions

We argue that the  $M = 9.2$  Sumatra earthquake caused a 5-y shutdown of moderate to large earthquakes along the transform system, and a corresponding change in focal mechanisms. The apparent return of seismicity after 5 y (Fig. 3A, Top) mirrors the aftershock duration for the backarc-transform system (Fig. 7): Aftershock frequency decays with inverse time, reaching the pre-mainshock background rate about 5 y after the 2004 mainshock. Thus, the duration of the seismicity rate drop in the stress shadow resembles the duration of the seismicity rate gain in the stress trigger zones.

We consider the results we report for the Sumatra earthquake to be more complete than but consistent with those from other large shocks. The 1992  $M = 7.3$  Landers earthquake produced a strong seismicity shutdown in its stress shadow up to 35 km from the source, but no focal mechanism change (32). The 2011  $M = 9.0$  Tohoku-oki earthquake produced a focal mechanism change inland of the megathrust—from reverse events before the mainshock to normal mechanisms afterwards—consistent with static stress change, but exhibited a very limited seismicity shutdown (33). Not only does the post-Sumatra period span the full 5-y aftershock decay, its aftershock observations exhibit all of the distinguishing features of static stress changes.

Cattin et al. (34) also calculated the static stress transferred to the backarc by the 2004 mainshock, finding a Coulomb stress increase on the transforms and a decrease on the rifts at 11°–15°N lat., opposite to our results. Although we use the same source (9), our interpretations of the backarc faults differ. What we regard as a N-S transform, they view as a set of 15-km-long en echelon NW-striking normal faults. But such short normal faults could not accommodate the 150 km of right-lateral displacement associated with the opening of the Andaman Basin (35). We consider such normal faults as a secondary features often seen near transforms. We base our inference on 376 focal mechanisms (they used 83), most of which are strike-slip along the transform (Fig. 4.4). As a result of their fault interpretation, they infer fault friction  $>0.5$ ,

while we infer 0.2–0.4, consistent with studies of the other sea-floor spreading centers. Finally, Cattin et al. (34) consider 2 y of post-mainshock events (36), while we use 5–7 y of relocated events (16); the longer period permits identification of the seismicity rate drop along the transforms.

The remote changes in seismicity rate have implications for seismic hazards. If a mainshock can promote or inhibit earthquakes far from the fault rupture, it changes not just the local but also the regional risk of subsequent earthquake damage. This is important because damage to buildings can be more strongly influenced by moderate nearby earthquakes than by shaking from the large distant mainshock that triggered those remote shocks. For example, a  $M = 6$  event 10 km from a two-story house (typical resonant period of 0.2 s) produces the same spectral acceleration as a  $M = 9$  earthquake 100 km away; a  $M = 6$  shock 10 km from a 30-story building (3 s period) produces the same spectral acceleration as a  $M = 9$  earthquake 500 km away (37). Nowhere was

this clearer than when a  $M = 6.3$  aftershock of the 4 September 2010  $M = 7.1$  Darfield, New Zealand, earthquake struck 45 km away in Christchurch five months later, tripling the insured losses (38, 39) and causing 181 deaths.

We thus offer this study and the calculation of the full dynamic animations as a contribution to the ongoing debate about to what extent, and to what distance, static or dynamic stresses trigger earthquakes (1–8). We find that the static stress imparted by the 2004 mainshock best explains the observed changes in focal mechanisms and seismicity rate.

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# Supporting Information

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## SI Text

**Details of the Dynamic Coulomb Stress Model.** Our simulations in a spherically-symmetric structure account for first-order directivity effects and geometrical and intrinsic attenuation (evident in Movie S1), but do not incorporate wave propagation through laterally heterogeneous media (1). The latter would include lateral refraction, focusing and de-focusing, and multiple scattering, e.g., basin reverberations and amplification. We regard these effects as important locally but of second order for the understanding for the character of the regional wave propagation and amplitude of time-dependent dynamic stress at the receiver faults. Earth's sphericity becomes important in the phase arrival times over the 1500 km distance between the epicenter and the northern backarc. A limitation of our stressgrams is their 6 s (0.17 Hz) minimum period, but at the 200–400 km distances from the source that we consider, such short period energy is already attenuated.

We generate synthetic seismograms using the Direct Green's Function (DGF) method (2). This synthesizes the seismic wave field for a spherically layered structure of seismic velocities  $V_p$

and  $V_s$ , density, and attenuation factors  $Q_p$  and  $Q_s$  in the  $l$ - $\Omega$  domain, where  $l$  denotes spherical harmonic degree. It is the spherical equivalent of the frequency-wave number method employed for flat-layered structures (3). It has been validated against analytic solutions, including those for elastic wave propagation in a full space for both isotropic and shear sources [eqn 4.29 of (4)], in a half-space the independent numerical solutions AXITRA (5) and f-k method (3), and in a layered spherical geometry the axisymmetric spectral-element code AXISSEM (6).

Alternative approaches to calculating the dynamic Coulomb stresses include that of Cotton and Coutant (7), which uses a discrete wavenumber reflectivity method to compute the stress field radiated by arbitrary moment-tensor sources in plane-layered media. From the expressions giving the potentials radiated by single forces (5), they derived the upward and downward potentials in the source layers. Their code has been widely used (8–14). Karabulut et al. (15) used the method of Bouchon (5). Doser et al. (1) used the code of Olsen (16), a fourth-order finite-difference method, in which 3D basin velocity structure was included.

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**Table S1. Focal mechanism rate and stress change (2005–2010 relative to 1975–2004) in the region of Fig. 3**

Focal mechanism	Number of $M \geq 5.2$ mechanisms		Rate change
	Before mainshock	After mainshock	
Strike-slip	15	1	0.34
Normal	13	20	8.00

Rate change = rate after mainshock/rate before mainshock.

Focal mechanism	Mainshock		Gain in promoted earthquakes	Significance
	Before	After		
Promoted/All	15/23	20/20	53%	0.03%

Gain = (ratio after mainshock/ratio before mainshock)<sup>-1</sup>.